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TC 2800 MAIL ROOM

In the Application of: **WOBBEN**

Serial No: **10/089,774**

Priority Date: **OCTOBER 6, 1999**

Title: **METHOD FOR MONITORING WIND POWER PLANTS**

Group Art Unit: **2857**

Examiner:

Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL COVER LETTER

Dear Sir:

With respect to the above-referenced application, transmitted herewith is a **PRELIMINARY AMENDMENT** (8 pages)

The fee has been calculated as shown below:

CLAIMS AS AMENDED						
	Claims Remaining	Highest Number Previously Paid	Extra	Rate		Amount
				Large	Small	
Number of Claims In Excess of 20	26	20	6	\$18.00	\$9.00	\$108.00
Independent Claims In Excess of 3	3	3	0	\$80.00	\$40.00	-0-
Extension Fee:	a) One Month			\$110.00	\$55.00	
	b) Two Months			\$400.00	\$200.00	
	c) Three Months			\$920.00	\$460.00	-0-
TOTAL FEE DUE:						\$108.00

Method of Payment:

☒ [XX] A check payable to the Commissioner of Patents and Trademarks, in the amount of \$108.00 is enclosed as payment of the Total Fee.

☐ [] Please charge my Deposit Acc. 50-0763 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

☒ [XX] The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Deposit Acc. 50-0763. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: August 15, 2002

Neil Steinberg, Reg. No. 34,735
Telephone No. (650) 968-8079



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(205.001)

In re Application of: **WOB BEN**)
Serial No: **10/089,774**) Group Art Unit:
International Filing Date: **JULY 7, 2000**) Examiner:
Priority Date: **OCTOBER 6, 1999**)
Title: **METHOD FOR MONITORING WIND POWER PLANTS**)

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UDER 37 CFR 1.8

I hereby certify that the attached (1) Transmittal Letter (1 page and 1 copy thereof),
(2) Preliminary Amendment (8 pages), and (3) check (\$108.00) are being deposited with
the United States Postal Service with sufficient postage as first class mail in an envelope
addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on August 15, 2002.

Michiko Sites
Signature

Michiko Sites
Print Name of Person Signing Certificate

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THE UNITED STATES PATENT AND TRADEMARK OFFICE
(205.001)

In re Application of: **WOBLEN**

Serial No.: **10/089,774**

International Filing Date: **JULY 7, 2000**

Priority Date: **OCTOBER 6, 1999**

Title: **METHOD FOR MONITORING WIND POWER PLANTS**

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) Group Art Unit:
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) Examiner:
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TC 2800 MAIL ROOM

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Preliminary to the examination of the above-referenced application, kindly amend the application as follows:

IN THE CLAIMS

Please **DELETE** claims 1-8, without prejudice.

Please **ADD** the following claims:

- 1 9. (**NEW**) A method of acoustically monitoring a wind power installation having a
2 plurality of components including at least rotor blades, the method comprising:
3 detecting an operating acoustic spectrum generated by at least one of the
4 components during operation of the wind power installation;
5 comparing the operating acoustic spectrum to a reference acoustic spectrum;
6 detecting a deviation between the operating acoustic spectrum and the reference
7 acoustic spectrum; and
8 detecting whether the deviation between the operating acoustic spectrum and the
9 reference acoustic spectrum exceeds a threshold.

1 10. **(NEW)** The method of claim 9 wherein the reference acoustic spectrum is an
2 acoustic spectrum produced by the component during normal operation.

1 11. **(NEW)** The method of claim 9 wherein the reference acoustic spectrum is an
2 acoustic spectrum that is expected to be generated by the component during normal
3 operation.

1 12. **(NEW)** The method of claim 9 wherein when the deviation between the
2 operating acoustic spectrum and the reference acoustic spectrum exceeds the threshold,
3 the operation of the wind power installation is automatically or manually terminated.

1 13. **(NEW)** The method of claim 9 further including:
2 repetitively detecting the operating acoustic spectrum generated by the component
3 of the wind power installation;
4 repetitively comparing the detected operating acoustic spectrums to a reference
5 acoustic spectrum;
6 detecting whether the comparison between the detected operating acoustic
7 spectrums to a reference acoustic spectrum exceeds a threshold.

1 14. **(NEW)** The method of claim 9 further including:
2 continuously detecting the operating acoustic spectrum generated by the component
3 of the wind power installation;

4 comparing the detected operating acoustic spectrums to a reference acoustic
5 spectrum;

6 detecting whether the comparison between the detected operating acoustic
7 spectrums to a reference acoustic spectrum exceeds a threshold.

1 15. **(NEW)** The method of claims 13 and 14 further including generating an
2 acoustic spectrum database using the detected operating acoustic spectrums.

1 16. **(NEW)** A method of acoustically monitoring a wind power installation having a
2 plurality of components including at least rotor blades, the method comprising:

3 detecting a first operating acoustic spectrum generated by at least one component
4 during operation of the wind power installation at a first power output level;

5 detecting a second operating acoustic spectrum generated by the component during
6 operation of the wind power installation at a second power output level;

7 comparing the first operating acoustic spectrum to a first reference acoustic
8 spectrum;

9 comparing the first operating acoustic spectrum to a second reference acoustic
10 spectrum;

11 detecting whether a deviation between the first operating acoustic spectrum and the
12 first reference acoustic spectrum exceeds a first threshold; and

13 detecting whether a deviation between the second operating acoustic spectrum and
14 the first reference acoustic spectrum exceeds a second threshold.

1 17. (NEW) The method of claim 16 wherein the first reference acoustic spectrum
2 is the acoustic spectrum produced by the component during normal operation and while
3 the wind power installation is operating at the first power output level.

1 18. (NEW) The method of claim 17 wherein the second reference acoustic
2 spectrum is the acoustic spectrum produced by the component during normal operation
3 and while the wind power installation is operating at the first power output level.

1 19. (NEW) The method of claim 16 wherein the first reference acoustic spectrum
2 is an acoustic spectrum that is expected to be generated by the component during normal
3 operation and while the wind power installation is operating at the first power output level.

1 20. (NEW) The method of claim 19 wherein the second reference acoustic
2 spectrum is an acoustic spectrum that is expected to be generated by the component
3 during normal operation and while the wind power installation is operating at the second
4 power output level.

1 21. (NEW) The method of claim 16 wherein when the deviation between the first
2 operating acoustic spectrum and the first reference acoustic spectrum exceeds the first
3 threshold, the operation of the wind power installation is automatically or manually
4 terminated.

1 22. (NEW) The method of claim 16 wherein when the deviation between the
2 second operating acoustic spectrum and the second reference acoustic spectrum exceeds

3 the second threshold, the operation of the wind power installation is automatically or
4 manually terminated.

1 23. **(NEW)** The method of claims 16 and 22 wherein the first threshold is equal to
2 the second threshold.

1 24. **(NEW)** A method of acoustically monitoring a wind power installation having a
2 plurality of components including at least rotor blades, the method comprising:

3 recording a first noise spectrum generated by at least one component during
4 operation of the wind power installation at a first output power level;

5 comparing the first noise spectrum to a first reference noise spectrum;

6 detecting deviations between the first noise spectrum and the first reference noise
7 spectrum;

8 communicating the deviations to a remote monitoring center; and

9 communicating signals representative of the sounds that caused the deviations
10 between the first noise spectrum and the first reference noise spectrum to the remote
11 monitoring center.

1 25. **(NEW)** The method of claim 24 further including continuously or repetitively
2 recording noise spectrums generated by the at least one component during operation of
3 the wind power installation.

1 26. **(NEW)** The method of claim 24 further including generating an acoustic
2 spectrum database using the recorded noise spectrums.

1 27. (NEW) The method of claim 24 wherein the wind power installation is shut
2 down if the deviations between the first noise spectrum and the first reference noise
3 spectrum exceed a predetermined threshold value.

1 28. (NEW) The method of claim 24 further including:
2 recording a second noise spectrum generated by the at least one component during
3 operation of the wind power installation at a second output power level;
4 comparing the second noise spectrum to a second reference noise spectrum;
5 detecting deviations between the second noise spectrum and the second reference
6 noise spectrum;
7 communicating the deviations to a remote monitoring center; and
8 communicating signals representative of the sounds that caused the deviations
9 between the second noise spectrum and the second reference noise spectrum to the
10 remote monitoring center.

1 29. (NEW) The method of claim 28 wherein the first reference noise spectrum is a
2 noise spectrum produced by the component during normal operation and while the wind
3 power installation is operating at a first power output level.

1 30. (NEW) The method of claim 29 wherein the second reference noise spectrum
2 is the noise spectrum produced by the component during normal operation and while the
3 wind power installation is operating at a second power output level.

1 31. **(NEW)** The method of claim 28 wherein the first reference noise spectrum is a
2 noise spectrum that is expected to be generated by the component during normal
3 operation and while the wind power installation is operating at the first power output level.

1 32. **(NEW)** The method of claim 31 wherein the second reference noise spectrum
2 is a noise spectrum that is expected to be generated by the component during normal
3 operation and while the wind power installation is operating at the second power output
4 level.

1 33. **(NEW)** The method of claim 24 wherein when the deviation between the first
2 operating acoustic spectrum and the first reference acoustic spectrum exceeds a
3 predetermined threshold value, the operation of the wind power installation is automatically
4 or manually terminated.

1 34. **(NEW)** The method of claim 24 wherein when the deviation between the
2 second operating acoustic spectrum and the second reference acoustic spectrum exceeds
3 a predetermined threshold value, the operation of the wind power installation is
4 automatically or manually terminated.
